Caries Management by Risk Assessment: The Caries Balance

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I have no personal financial interest in any company relevant to this presentation. I consult for, have consulted for, or have done research funded or supported by: Arm and Hammer, Beecham, Cadbury, GSK, KaVo, Novamin, Omnii Oral Pharmaceuticals, Oral B, Philips Oralcare, Procter and Gamble, 3M ESPE Preventive Care, Wrigley, and the National Institutes of Health.

What is Dental Caries?

- Dental caries is tooth decay
- Specific bacteria (Streptococcus mutans, Streptococcus sobrinus, lactobacilli and more) on the tooth surface feed on carbohydrates and make acids as waste products
- Acids travel into the tooth and dissolve mineral - if mineral loss is not halted or reversed a cavity is formed
- Dental caries is a transmissible bacterial infection

White spot lesion

Frank occlusal cavity
Protective Factors

Childhood Caries

Caries

Pathological Factors

- Acid-producing bacteria
- Frequent eating/drinking of fermentable carbohydrates
- Sub-normal saliva flow and function

Protective Factors

- Saliva flow and components
- Fluoride, Calcium, Phosphate: remineralization
- Antibacterials: chlorhexidine, xylitol, new?

The Caries Balance

No Caries

Caries

Featherstone, Community Dent Oral Epidem, 1999

Pathological Factors

- Cariogenic bacteria: mutans streptococci (S. mutans and S. sobrinus), lactobacillus species and others
- Frequency of ingestion of fermentable carbohydrates: sucrose, glucose, fructose, cooked starch
- Reduced salivary function (medication induced; radiation therapy; disease; genetic)

Stay in balance to survive
Acid producing bacteria are usually less than 1 percent of the total flora in the plaque.

Scanning Electron Micrograph of bacteria on a tooth surface.

Streptococcus mutans culture showing active cell division. S. sobrinus is similar. Sucrose leads to extracellular polysaccharides that stick the plaque together.

**Mutans Streptococci**

This group of bacteria contains two primary species that appear in humans:

- *Streptococcus mutans* - almost universal
- *Streptococcus sobrinus* - virulent, high risk

Both species produce acids and can live in acid.

**Additional Species strongly related to high caries in children**

- Tanner and co-workers 2010 and 2011
- Bifidobacteriaceae
- Veillonella
- Scardovia wiggsiae
- Watch this space
- Any bacteria that produce acid as a byproduct of metabolism
- Biofilm is a cooperative city

**Additional Species strongly related to occlusal caries in dentin**

- Lactobacillus casei and Lactobacillus fermentum
- Veillonella species
- Actinomyces species
- Bifidobacterium species
- Any bacteria that produce acid as a byproduct of metabolism
- Biofilm is a cooperative city
Clinical Study Results
NIH/NIDCR Grant
Caries Management By Risk Assessment
(Caries Res In Press 2012)

Principal Investigator:
John Featherstone
Co-investigators:
Chuck Hoover, Stuart Gansky, Marcia Rapozo-Hilo, Kim Tran, Joel White, Jane Weisstrab

Caries Management Study

Baseline Observations
Saliva Sample
MS, LB and F Radiographs
DMFS 1-7 cavities

S1

Randomization
2 Years

N=115
Control
Conventional Treatment Plan
Restorations Complete
Final Observations
Radiographs DMFS

S2 S3 S4+S6 S7

Intervention
Restorations Complete
Final Observations
Radiographs DMFS

S2 S3 S4+S6 S7

N=116
(ChX + F)

Decayed Surfaces vs. log MS and log LB
(Revised bacterial classifications 1-07)

Mean (SE) logMS

Visit # - 6 month intervals

Log (CFU/ml)

0 1 2 3 4 5 6 7

Log MS Control
Log MS Intervention
Chlorhexidine plus Fluoride

Patients With Frank cavities

- One or more frank cavities indicates high risk for future new carious lesions
- Moderate to high levels of mutans streptococci
- Moderate to high levels of lactobacilli
- Patients have a high bacterial challenge that most likely can not be completely overcome by fluoride alone
- Placing restorations does not reduce the bacterial loading in the rest of the mouth

Baseline Bacterial Levels vs Decay

Existing Cavity = High Risk

High Bacterial Challenge

Patients have a high bacterial challenge that most likely can not be completely overcome by fluoride alone.
Caries is a Transmissible Bacterial Infection

- Time for a paradigm shift
- Children infected by mother, caregiver, siblings
- Fluoride is effective only up to a point
- High bacterial challenge cannot be completely overcome
- Placing "fillings" has little effect on cariogenic bacterial loading in the mouth
- Need to deal with the infection

First Colonizable Hard Surface. Soft tissues can also be colonized before teeth erupt.

Pathological Factors

- Cariogenic bacteria: mutans streptococci (S. mutans and S. sobrinus) and lactobacillus species
- Frequency of ingestion of fermentable carbohydrates: sucrose, glucose, fructose, cooked starch
- Reduced salivary function (medication induced; radiation therapy; disease; genetic)

Demineralization:-

Step 1

- Cariogenic Bacteria: S. Mutans, S. Sobrinus, Lactobacillus
- Fermentable Carbohydrates: Sucrose, Glucose, Fructose, Cooked starch
- Organic Acids: Which penetrate enamel and dentin, dissolve tooth mineral

Cariogenic foods contain fermentable carbohydrates such as sucrose, glucose, fructose, and cooked starch.
Non-cariogenic Sweeteners

- Sorbitol
- Aspartame
- Saccharin
- Sodium cyclamate
- Xylitol
- Several others

Saliva Contains Numerous Important Components

- Calcium, phosphate and fluoride
- Proteins and lipids that form the pellicle that protects the tooth surface
- Proteins that keep calcium in solution - they maintain supersaturation
- Buffers: bicarbonate, phosphate, peptides
- Antibacterial substances & immunoglobulins

Protective factors

- Salivary components and flow
- Fluoride, calcium and phosphate: remineralization
- Antibacterials from extrinsic sources

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Male, 55 years old, before radiation to the head and neck for cancer treatment. Causes saliva flow and function to be cut by at least 90%

Same male, after radiation to the head and neck. Six months later, showing rampant decay and massive destruction of the teeth

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Demineralization:
Step 2
Dental Mineral = Carbonated Hydroxyapatite
Acid soluble
Organic Acids
Calcium and phosphate into solution
If fluoride is present in the solution between the crystals it inhibits mineral loss

Tooth Cross Section
Plaque on outer surface
Enamel crystals
Dentin crystals
Dentin Enamel Junction

Acid-damaged enamel crystals from a carious lesion at 3,000,000x showing rows of calcium atoms. Hexagonal white patches (arrows) are where acid has dissolved mineral from calcium deficient/carbonate rich regions.

Dental Mineral = carbonated apatite
$\text{Ca}_{10-x}\text{Na}_x\text{(PO}_4\text{)}_{6-y}\text{(CO}_3\text{)}_y\text{(OH)}_z\text{(F)}_u$
Most soluble in acid

$\text{Ca}_{10}(\text{PO}_4\text{)}_6\text{(OH)}_2$ = hydroxyapatite
Less soluble

$\text{Ca}_{10}(\text{PO}_4\text{)}_6\text{(F)}_2$ = fluorapatite
Least soluble

Schematic adsorption of fluoride on crystal surfaces by fluoride

Crystal of dental mineral

$\text{F}^-$
$\text{Ca}^{2+}$ PO$_4^{3-}$ $\text{Ca}^{2+}$ PO$_4^{3-}$ $\text{Ca}^{2+}$ PO$_4^{3-}$ $\text{Ca}^{2+}$
Fluoride works primarily via topical mechanisms

- Fluoride inhibits demineralization by adsorbing from solution onto tooth mineral crystal surfaces

**Remineralization/Tooth Repair**

Calcium in tooth water (from saliva) + Phosphate in tooth water (from saliva) → Remineralization: Builds on existing crystal remnants, new mineral less soluble, fluoride helps.

*Fluoride speeds up remineralization -> less soluble mineral*

**Fluoride speeds up remineralization and makes acid resistant mineral**

Demineralized surface blocks need to be replaced with new calcium, phosphate and fluoride to make a more acid resistant surface on the crystal.

**SEM in the body of a carious lesion (~30,000x)** showing remaining crystal remnants awaiting remineralization.

**Sound enamel crystal (3,000,000x)** dissected from inner enamel showing carbonate rich acid soluble regions (white patches).
Enamel crystal after remineralization with calcium, phosphate and fluoride, showing a well-formed, low solubility, fluorapatite-like veneer overlying the original defective crystal.

Crystal of dental mineral

Calcium and phosphate are cemented in place by fluoride providing a new stable wall.

Fluoride works primarily via topical mechanisms:
- Fluoride inhibits demineralization by adsorbing from solution onto tooth mineral crystal surfaces.
- Fluoride enhances remineralization by combining with calcium and phosphate to make a "fluorapatite-like" remineralized veneer.

Protective Factors

Fluoride can not enter bacteria in its ionic form, but as the bacteria produce acid HF is formed, which diffuses readily into the cells.
Fluoride works primarily via topical (surface) mechanisms
(Fluoride in water, foods, beverages, products)

- Fluoride inhibits demineralization
- Fluoride enhances remineralization
- Fluoride can inhibit plaque bacteria

Fluoridated Water

Fluoridated Water: Primarily A Topical Effect.

Fluoride levels in the mouth are sufficient to enhance remineralization

Fluoride in Drinking Water Effective Posteruptively in Children and Adults

- Hardwick et al, 1982, showed caries reduction of ~27% over 4 years in 12 year olds when fluoride was added to their drinking water
- Stamm et al., 1990, showed reduction in root caries in older adults living in fluoridated drinking water area

Brushing twice daily with a fluoride-containing dentifrice is one of the most effective ways to control dental decay.
High bacterial challenge overcomes the therapeutic effects of fluoride.

Numerous clinical trials showed ~30% reduction with fluoride dentifrice 1000-2800 ppm F.
Curnow, Pine, et al, 2002 reported 56% reduction with supervised brushing twice daily
Orthodontic brackets attract cariogenic bacteria, leading to white patch decay.

Stannous Fluoride Stabilized Formula 2007

Over the counter fluoride rinses (0.05% NaF) are very effective in high caries risk patients when used once or twice daily for one minute, plus a fluoride-containing dentifrice. O’Reilly and Featherstone, 1987

Office-Applied Fluoride Products
Gel (> 5,000 ppm F) and Fluoride Varnish
- Do not require continuing patient compliance
- Forms slowly soluble calcium fluoride-like deposits in lesions and the plaque
- Gives slow release fluoride for several weeks
- Three times a year for high risk patients

Evidence-based Clinical Recommendations: Professionally Applied Topical Fluoride
The Council on Scientific Affairs, American Dental Association
May, 2006
- Fluoride gel applied for 4 minutes or more is effective
- Fluoride varnish applied every 6 months is effective
- Two or more applications of fluoride varnish per year are effective in high caries risk individuals
- Office topical applications no added benefit for low risk individuals


Fluoride Varnish for High Risk of All Ages
White “Vanish” Varnish – 3M ESPE Prev Care
High fluoride concentration (5,000 ppm F) toothpaste more effective than 1100 ppm F in caries-active (high risk) adolescents

Nordstrom and Birkhed. Caries Research 2011. 5000 ppm F toothpaste gave statistically significant preventive fraction of 40% compared to 1450 ppm F toothpaste. However, caries progression still occurred in many subjects even with high concentration fluoride use.

Clinpro™ 5000 1.1% NaF Dentifrice
3M ESPE
Contains added calcium

Conclusions - Fluoride
- The anti-caries effects of fluoride are primarily topical (surface) in plaque
- The systemic benefits of fluoride are minimal
- Therapeutic levels of F can be achieved from drinking water and fluoride products
- Fluoride therapy may not overcome a high bacterial challenge

Calcium Phosphopeptide: CPP/ACP
Eric Reynolds - Australia

Background and mechanism
Laboratory studies: Three decades
Clinical Studies: clinical evidence

Representation of a proposed CPP-ACP complex
Cross et al. 2007 Curr Pharm
Conclusions

- Limited calcium and phosphate in individuals with reduced salivary function is a common problem.
- Calcium and phosphate delivery can be enhanced to improve remineralization.
- Great need for novel improved remineralization methods to better alter the “caries balance”, especially in individuals with high bacterial challenge.
- MI paste, MI Paste Plus (with fluoride).

The Caries Balance:
The Basis for Caries Management by Risk Assessment

Caries is a Transmissible Bacterial Infection

The Role of Antibacterials

Protective factors

- Salivary components and flow
- Fluoride, calcium and phosphate: remineralization
- Antibacterials from extrinsic sources

The Caries Balance

Pathological Factors
- Acid-producing bacteria
- Frequent eating/drinking of fermentable carbohydrates
- Sub-normal saliva flow and function

Protective Factors
- Saliva flow and components
- Fluoride, calcium, phosphate: remineralization
- Antibacterials: chlorhexidine, xylitol, new?

Caries  No Caries
Biofilm Modification is necessary as part of our therapy for high bacterial challenge individuals. Caries is a transmissible bacterial infection.

Caries is a Transmissible Bacterial Infection

- Multiple acid-producing species of bacteria are responsible
- Children are infected by mothers, care-givers, siblings, playmates, through saliva transfer
- Babies and infants are most susceptible from birth to about 4 years of age
- Children infected early have more cavities later in life
- Need to break the chain of infection and deal with the bacteria

The early establishment of S. mutans in the mouths of infants

R.J. Berkowitz, H.V. Jordan and G. White
Demonstrated early infection of infants’ teeth by S. mutans

Later studies (2000-2003) have shown colonization even before the teeth erupt.

Similarity of bacteriocins of S. mutans from mother and infant

R.J. Berkowitz and H.V. Jordan

Demonstrated the likelihood of transmission from mother to child

Distinct bacteriocin groups correlate with different groups of S. mutans plasmids

P.W. Caufield, N.K. Childers, D.N. Allen, J.B. Hansen
Infect. Immun. 48:51-56, 1985

Transmission of specific strains confirmed by DNA matching

S. mutans establishment and dental caries experience in children

S. Alalusua, O.V. Renkonen

Children whose teeth were colonized early by MS showed higher caries experience than those colonized later.
Oral colonization of S. mutans in Six-month-old Predentate Infants

J.Dent Res. 80:2060-2065, 2001

- Showed that S. mutans colonized even before teeth erupted (50% of infants).
- Related to high S. mutans in mothers, increased frequency of sugar intake, breast feeding and habits with saliva transfer from mother to child.

A Longitudinal Study of Streptococcus Mutans Colonization in Infants after Tooth Eruption

J.Dent Res. 82:504-508, 2003

- Colonization began before teeth erupted and increased with age up to 24 months (84% of infants colonized).
- Timing of colonization from birth to 24 months.
- Major relationship to sweetened fluids before bed, frequent sugar exposure and snacking, maternal S. mutans > 10^5 CFU/ml.

ECC is a transmissible disease

- The primary acid-producing bacteria are transmitted to the infants by
  - Mother/Primary caregiver
  - Other adults and children in close contact
- Transmission can be reduced or delayed if mutans streptococci (MS) and lactobacilli levels are reduced in these individuals.

Chlorhexidine Gluconate 0.12%, 10 ml, daily for 1 week reduces MS markedly and LB somewhat after restorations completed. Repeat every month.

Chlorhexidine was effective at reducing the bacterial challenge in high caries risk individuals even when compliance was problematic.

- Preferred regimen is once a day rinse for one week every month for a year.
- Monitor success by bacterial testing.
- Ideally we need a better antibacterial therapy.
- Must combine with remin/fluoride.

What about toddlers/preschoolers?

- No good antibacterial vehicle available for toddlers - chlorhexidine has negatives.
- Chewing xylitol gum inappropriate & mints might be aspirated.
- Xylitol wipes? - Spiffies: Unpublished data show caries reduction over one year in infants.
- Enlist the mothers and caregivers.

Monitor success by bacterial testing.

Ideally we need a better antibacterial therapy.

Must combine with remin/fluoride.

Enlist the mothers and caregivers.
**Xylitol**

- Xylitol is a 5-carbon sugar alcohol.
- It looks like sucrose and has about the same sweetness by weight.
- It is used in some foods, chewing gum, candies, lozenges, and dental products as a sweetener.
- Cariogenic (caries causing) bacteria can not feed on it.
- Humans can feed on it and use it as an energy source.
- It inhibits the transfer of bacteria from person to person by altering the way the bacteria stick to surfaces.

**Influence of maternal xylitol consumption on acquisition of mutans streptococci by infants**

E. Soderling, P. Pienihakkinen, J. Tenovuo
J. Dent. Res. 79:882-887, 2000

Use of xylitol gum by mothers reduced colonization in infants.
Xylitol was better than chlorhexidine varnish, which was better than fluoride varnish.
Parallel study showed marked caries reductions after 5 years (10 year results still hold up).

**Occurrence of dental decay in children after maternal consumption of xylitol chewing gum, a follow-up from 0 to 5 years of age**

Isokangas P, Soderling E, Pienihakkinen K, Alanen P
J. Dent. Res. 79:1885-1889, 2000

Use of xylitol gum by 195 mothers reduced caries in children over 5 years.
Caries in the xylitol group was reduced by 70% compared to the chlorhexidine varnish or fluoride varnish groups.

**Xylitol Gum, Mints**

- Noncariogenic sweetener.
- Inhibits transfer of bacteria from mother to child.
- Can reduce loading of cariogenic bacteria in the mouth.

**A Few Xylitol Gum Sources**

You can buy gums and mints from this company, including dispensers.

Carifree has a range of products including xylitol gum and mints, antibacterial rinses, fluoride varnish and an ATP bacterial activity testing meter.

- Zellies. Ellie has the full range plus educational materials. [http://www.zellies.com](http://www.zellies.com)

- Spry is another company that markets gum and mints to dental offices. [http://www.homesteadmarket.com/xylitol_mints.html](http://www.homesteadmarket.com/xylitol_mints.html)
Spry Dental Defense System™
- Spry Chewing Gum
- Spry Mints
- Spry Oral Rinse
- Spry Toothpaste
- Spry Infant Tooth Gel
- Rain Oral Mist

Conclusions: Antibacterial Anticaries Agents

Several avenues are being explored that show promise for clinical applications in the future.

It will take years for clinical studies and regulatory approvals

CariFree™ Patient Kits
- Treatment Kit
- Prevention Kit
- Starter Kit

Risk Assessment
Assessing the risk for caries in the future

Validation of the CDA CAMBRA Caries Risk Assessment — A Six-Year Retrospective Study
Sophie Domejean, Joel M White, John D Featherstone

California Dental Association Journal: 30(10), 2011
**DISEASE INDICATORS**

UCSF predoctoral clinics (CDA Jnl Oct 2011)

<table>
<thead>
<tr>
<th>Restoration last 3 years</th>
<th>Cavitations</th>
<th>P-value</th>
<th>OR*</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>3,052</td>
<td>&lt;0.001</td>
<td>1.46</td>
</tr>
<tr>
<td>Yes</td>
<td>2,761</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Approximal enamel lesions on x-rays

| No                      | 3,425       | <0.001  | 8.21|
| Yes                     | 3,059       |          |     |

White spots

| No                      | 1,886       | <0.001  | 2.77|
| Yes                     | 4,436       |          |     |

*OR = odds ratio. 1.0 = no relationship; > 1.0 = positive relationship; < 1.0 = negative relationship

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**PROTECTIVE FACTORS**

<table>
<thead>
<tr>
<th>Fluoridated community</th>
<th>Cavitations</th>
<th>P-value</th>
<th>OR*</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>687</td>
<td>0.011</td>
<td>0.95</td>
</tr>
<tr>
<td>Yes</td>
<td>5,958</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Fluoride toothpaste

| No                      | 319         | 0.003   | 0.21|
| Yes                    | 5,958       |          |     |

Fluoride mouthrinse

| No                      | 5,379       | <0.001  | 0.80|
| Yes                    | 971         |          |     |

*OR = odds ratio. 1.0 = no relationship; > 1.0 = positive relationship; < 1.0 = negative relationship

---

**BIOLOGICAL RISK FACTORS**

<table>
<thead>
<tr>
<th>Risk Factor</th>
<th>Cavitations</th>
<th>P-value</th>
<th>OR*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heavy plaque</td>
<td>3,792</td>
<td>&lt;0.001</td>
<td>2.05</td>
</tr>
<tr>
<td>Yes</td>
<td>5,793</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Recreational drugs

| No                      | 523         | <0.001  | 1.95|
| Yes                    | 1,408       |          |     |

Deep pits & fissures

| No                      | 5,079       | <0.001  | 1.80|
| Yes                    | 3,406       |          |     |

Frequent snacks

| No                      | 4,885       | <0.001  | 1.27|
| Yes                    | 760         |          |     |

Inadequate saliva flow

| No                      | 4,485       | <0.001  | 1.19|
| Yes                    | 765         |          |     |

Exposed roots

| No                      | 3,235       | <0.001  | 1.19|
| Yes                    | 2,402       |          |     |

*OR = odds ratio. 1.0 = no relationship; > 1.0 = positive relationship; < 1.0 = negative relationship

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**The Caries Imbalance**

- Disease Indicators
  - White spots
  - Enamel lesions
  - Cavities/dentin

- Risk Factors
  - Bad bacteria
  - Absence of saliva
  - Dietary habits (poor)

- Protective Factors
  - Saliva & Sealants
  - Antibacterials
  - Fluoride
  - Effective Diet

---

**Risk @ Baseline**

<table>
<thead>
<tr>
<th>First follow-up</th>
<th>Cavities</th>
<th>Proximal lesions</th>
<th>White spots</th>
</tr>
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<tbody>
<tr>
<td>Low</td>
<td>23.6 %</td>
<td>9.9 %</td>
<td>10.2 %</td>
</tr>
<tr>
<td>Moderate</td>
<td>38.6 %</td>
<td>12.4 %</td>
<td>7.2 %</td>
</tr>
<tr>
<td>High</td>
<td>69.3 %</td>
<td>28.7 %</td>
<td>9.6 %</td>
</tr>
<tr>
<td>Extreme</td>
<td>88%</td>
<td>23.5 %</td>
<td>2%</td>
</tr>
</tbody>
</table>

P-Value \( \chi^2 \) <0.001 <0.001 0.163

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**2,571 follow-up CRA (16.0 +/- 12.6 months)**

- Mutans streptococci
- Lactobacilli

Vivadent Test Strips. Used to measure mutans streptococci and lactobacilli bacterial challenge level.

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Featherstone, Young and Wolff, 2007
Caries Risk Assessment

An Actual Case - 1

21 year old female referred by general dentist
(a) First cavity of her life
(b) Numerous interproximal lesions on radiographs, several into dentin
(c) Apparently good oral hygiene
(d) College student living in an apartment
(e) White patches observed - Orthodontic treatment completed three years before
(f) Did bacteria test – Ivoclar/Vivadent CRT

Caries Risk Assessment

An Actual Case - 2

Pathological factors
(a) Mutans streptococci and lactobacilli very high
(b) Frequent (greater than 3 times) between meal snacks of sugars/cooked starch - college student not eating regular meals
(c) No saliva reducing factors: 1) medications, 2) radiation to the head and neck, 3) systemic reasons
(d) Saliva flow normal (approximately 2.0 ml/min)
(e) Previously appliances present - orthodontic brackets

Caries Risk Assessment

An Actual Case - 3

Protective factors
(a) Use of fluoride toothpaste not regular
(b) Saliva normal and adequate
(c) Insufficient to overcome the high and frequent acid challenges
(d) Treatment regimen
(e) Chlorhexidine rinse daily one week each month
(f) High concentration fluoride toothpaste daily
(g) Diet diary and modification of snacking. Add xylitol gum. Motivated/intelligent individual.
(h) Restore tooth with cavity. Monitor the remainder
(i) Caries controlled
Putting into practice the results of many years of research.

"Caries Management by Risk Assessment"
October, November 2007. On line, free California Dental Association Journal based upon the "Caries Balance"
http://www.cdafoundation.org/journal

Use The Caries Balance to Assess the Risk

The Caries Balance

Pathological Factors
- Acid-producing bacteria
- Frequent eating/drinking of fermentable carbohydrates
- Sub-normal saliva flow and function

Protective Factors
- Saliva flow and components
- Fluoride, calcium, phosphate: remineralization
- Antibacterials: chlorhexidine, xylitol, new?

No Caries
Caries

Sometimes there is a delicate balance

The Caries Imbalance

Disease Indicators
- White spots
- Restorations-<3 yrs
- Enamel lesions
- Cavities/dentin

Risk Factors
- Bad bacteria
- Absence of saliva
- Dietary habits (poor)

Protective Factors
- Saliva & Sealants
- Antibacterials
- Fluoride
- Effective Diet

Caries Progression
No Caries

Featherstone, Young and Wolff, 2007
Caries Risk assessment (Age 6 years and older/adult) - 1

1. Disease Indicators - Clinical Observations
   (a) Visible cavities present
   (b) Caries restored in last 3 years
   (c) Interproximal caries lesions/radiolucencies
   (d) White spots on enamel surfaces
   Any one of these signals a bacterial test for MS and LB or overall bacterial activity (ATP test)
   These are all clinical observations that tell us nothing about the cause of the disease - they indicate presence of disease.

2. Risk Factors (Biological determinants of caries risk)
   (a) ATP activity medium or high - by test meter – all patients
   (b) Visible heavy plaque on teeth
   (c) Frequent (greater than 3 times) between meal snacks of sugars/cooked starch
   (d) Deep pits and fissures
   (e) Recreational drug use
   (f) Inadequate saliva flow (less than 0.5 ml/min)
   (g) Saliva reducing factors: 1) medications, 2) radiation to the head and neck, 3) systemic reasons, e.g. Sjogren’s syndrome
   (h) Exposed tooth roots
   (i) Orthodontic appliances present

3. Protective Factors
   (a) Lives/works/school in community with fluoridated water
   (b) Uses fluoride toothpaste once daily
   (c) Uses fluoride rinse/gel daily
   (d) Uses 5000 ppm F toothpaste daily
   (e) Fluoride varnish in last 6 months
   (f) Office F topical in last 6 months
   (g) Chlorhexidine rinse prescribed/used daily for 1 week every month last 6 months
   (h) Xylitol gum/candies 4 times daily last 6 months
   (i) Calcium/phosphate paste last 6 months
   (j) Saliva flow visibly adequate or > 1 ml/min by test

4. Bacterial test for (ATP) for all as a baseline measure. High ATP signals high bacterial challenge.
5. Count the yes’s. Assess caries risk and check risk as extreme, high, moderate or low
6. Treatment Plan
   Includes home care, office preventive treatments and restorative work
7. Home Care Recommendations
8. Recall and Re-assessment of Caries Risk

CariScreen™ ATP Test

- Real time (15 second)
- Inexpensive screening test for quantitative measure of bacterial activity

CariScreen score had a strong positive correlation $r = 0.76$ with total cell count; a positive correlation with MS counts $r = 0.69$. OHSRU
What is the Caries Risk of this Individual?
35 year old female
- No new caries lesions in the last 5 years
- No symptoms of salivary dysfunction (dry mouth), no medications with salivary side effects
- Assume low cariogenic bacteria levels or confirm with ATP tester (less than 1500)
- Not a frequent snacker

Low Risk Patient

Pathological Factors
- Low Acid-producing bacteria
- Saliva normal
- Carbohydrates o.k.

Protective Factors
- No new caries in 5 years
- Saliva normal
- Fluoride, calcium, phosphate - remineralization
- 2 x daily F toothpaste
- Antibacterials - No need

Caries
No Caries

Therapy for Low Caries Risk Individual
35 year old female
- Maintain 2 x daily fluoride toothpaste brushing and other habits.
- Recall 12 months.

What is the Caries Risk of this Individual?
60 year old male
- Root canal 5 years ago. No new caries by exam
- No symptoms of salivary dysfunction (dry mouth), but one medication with salivary side effects
- ATP test score 2,400 previously after chlorhexidine use
- Not a frequent snacker
- Exposed root surfaces

Pathological Factors
- Acid-producing bacteria - time for another bacterial test?
- Saliva flow measured normal
- Snacking controlled
- Caries 5 years ago - no new
- Exposed root surfaces

Protective Factors
- Saliva flow and components adequate, calcium, phosphate
- Fluoride, calcium, phosphate - remineralization
- F toothpaste, F mouthrinse
- Antibacterials - chlorhexidine, xylitol.

Caries Lies Dormant
No Caries

Moderate Risk Patient
Moderate Caries Risk Individual
60 year old male
- 2 x Daily F toothpaste brushing
- 2 x Daily F mouthrinse (ACT or Fluorigard) 3 weeks
- Conduct bacterial test
- Maintain snacking and other habits as is
- Xylitol chewing gum or mints daily
- Chlorhexidine rinse 10 ml daily for one week every month for the next 6 months, depending on result of bacterial test
- Recall at 6 months and repeat risk assessment and bacterial test

CariFree- Patient Kits
- Treatment Kit
- Prevention Kit
- Starter Kit

What is the Caries Risk of this Individual?
50 year old female
- Several radiographic lesions into dentin
- Symptoms of salivary dysfunction (dry mouth), taking anti-anxiety medication, and major analgesic daily for two years.
- Risk assessment signals to do a bacteria test – ATP score 4,200
- Admits to being a frequent snacker

High/Extreme Risk Patient

Protective Factors
- Fluoride - remineralization
- F Toothpaste once daily only
- Minimal calcium, phosphate
- Antibacterials:- none used

No Caries
Caries
Extreme Caries Risk Individuals
- High Risk plus severe hyposalivation. Measure saliva flow rate (less than 0.5 ml/minute)
- Same therapy as for high risk individuals PLUS:
  - Baking soda rinse 4x daily (2 teaspoons in 8 ounces water)
  - Consider fluoride trays for home use (1.1% neutral sodium fluoride gel) daily
  - Consider calcium phosphate home use gel
- Recall 3 months and repeat F varnish etc.

Extreme High Risk Patient
- Protective Factors
  - Office applied Fluoride Varnish
  - Chlorhexidine 10 ml daily one week a month for 6 months
  - Brush with high 5000 ppm F toothpaste daily - enhance remineralization
  - Xylitol gum 4x daily
  - Baking soda rinse
  - Consider MI paste
- Recall 3-6 months

Caries On Hold

No New Caries

Fluoride varnish for high and extreme risk adults as well as children
Caries risk assessment procedures and treatments for children aged 0-5 years, can be accessed in the October 2007 CDA Journal Ramos-Gomez et al., Ramos-Gomez et al, CDA Journal November 2010
www.cdafoundation.org/journal

FDI statement 2002
Minimal Intervention in the Management of Dental Caries

1. Modification of the oral flora
2. Patient education
3. Remineralization of non-cavitated lesions of enamel and dentin
4. Minimal operative intervention of cavitated lesions
5. Repair of defective restorations
Minimally Invasive Dentistry

- The basic principle is to preserve as much of the natural tooth structure as possible while at the same time encouraging remineralization of early lesions to inhibit further progression.
- Maintaining a balance between caries pathological and protective factors is the key to success and the oral health of the patient.

Featherstone, April, 2004

Conservative Caries Management by the Dental Team

- Detect caries lesions early enough to reverse or prevent progression
- Assess caries risk
- Use fluoride and/or antibacterial therapy based on observations
- Use minimally invasive restorative procedures to conserve tooth structure

It is an uphill struggle to get faculty, students and practitioners to accept the practical application of the "caries balance" and caries management by risk assessment, BUT it works and patients are grateful.